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## NOTICE OF ALLOWANCE AND FEE(S) DUE

36802

7590

04/24/2008

PACESETTER, INC. 15900 VALLEY VIEW COURT SYLMAR, CA 91392-9221 EXAMINER

NATNITHITHADHA, NAVIN

ART UNIT PAPER NUMBER

3735

DATE MAILED: 04/24/2008

| APPLICATION NO. FILING DATE |            | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------------------|------------|----------------------|---------------------|------------------|
| 10/803,449                  | 03/17/2004 | Steve Koh            | A04P1026            | 5443             |

TITLE OF INVENTION: DETECTING AND QUANTIFYING APNEA USING VENTILATORY CYCLE HISTOGRAMS

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1440        | \$0                 | \$0                  | \$1440           | 07/24/2008 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

| appropriate. All further indicated unless correct maintenance fee notification                         | ted below or directed oth  | ng the Patent, advance of<br>nerwise in Block 1, by (a  | rders and notification<br>a) specifying a new c  | of n   | naintenance fees w<br>pondence address;                           | ill be<br>and/or          | mailed to the current (b) indicating a sepa  | corre<br>ırate '  | espondence address as<br>"FEE ADDRESS" for       |
|--|--|---|--|--|---|---------------------------|--|---|--|
| CURRENT CORRESPOND   |  | Note: A certificate of mailing can only be used for domestic mail Fee(s) Transmittal. This certificate cannot be used for any other acc papers. Each additional paper, such as an assignment or formal dra have its own certificate of mailing or transmission. |  |  |   | v other accompanying      |  |   |  |
| PACESETTEI<br>15900 VALLEY<br>SYLMAR, CA   | V2008  |   | State  | reby certify that the<br>es Postal Service we<br>essed to the Mail | is Fee(<br>ith suf<br>Stop  | ficient postage for firs  | g depo<br>st clas<br>abov                    | osited with the United<br>ss mail in an envelope<br>e, or being facsimile |  |
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|  |  |   |  |  |   |                           |  |   | (Signature)                                      |
|  |  |   |  |  |   |                           |  |   | (Date)   |
| APPLICATION NO.  | FILING DATE  |   | FIRST NAMED INVEN  | ITOR   |   | ATTO                      | RNEY DOCKET NO.                              | СО  | ONFIRMATION NO.                                  |
| 10/803,449 TITLE OF INVENTION  | 03/17/2004<br>N: DETECTING AND Q   | UANTIFYING APNEA U  | Steve Koh<br>USING VENTILATOI  | RY C   | YCLE HISTOGRA   | AMS                       | A04P1026                                     |   | 5443   |
| APPLN. TYPE  | SMALL ENTITY   | ISSUE FEE DUE   | PUBLICATION FEE I  | OUE  | PREV. PAID ISSUE  | E FEE                     | TOTAL FEE(S) DUE                             |   | DATE DUE   |
| nonprovisional   | NO   | \$1440  | \$0  |  | \$0   |                           | \$1440                                       |   | 07/24/2008                                       |
| EXAM   | MINER  | ART UNIT  | CLASS-SUBCLASS   | S  |   |                           |  |   |  |
| NATNITHITH   | ADHA, NAVIN  | 3735  | 600-529000   |  | •   |                           |  |   |  |
| "Fee Address" ind<br>PTO/SB/47; Rev 03-(<br>Number is required.  3. ASSIGNEE NAME A<br>PLEASE NOTE: Un | AND RESIDENCE DATA   | " Indication form   | or agents OR, alter  (2) the name of a registered attorney 2 registered patent listed, no name wi  THE PATENT (print of data will appear on the second control of the second con | rnativesingle or a tattor attor attor attor attor or type the pa   | e firm (having as a gent) and the nameneys or agents. If printed. | memb<br>es of u<br>no nam | er a 2<br>p to<br>e is 3                     | ocum  | ent has been filed for                           |
| 4a. The following fee(s)  Issue Fee  | riate assignee category or   |   | (B) RESIDENCE: (Continued on the patent):  b. Payment of Fee(s):  A check is enclosed Payment by credit  | (Plea  | Individual  | orporati<br>ny prev       | on or other private gro                      |   | •  |
| Advance Order -  | # of Copies  | ·   | The Director is he   | ereby  | authorized to char  | ge the                    | required fee(s), any de                      | ficien<br>n extr  | ncy, or credit any ca copy of this form).        |
|  | atus (from status indicated<br>as SMALL ENTITY statu   |   | ☐ b. Applicant is no   | o long   | ger claiming SMAI   | L EN                      | ΓΙΤΥ status. See 37 CI                       | FR 1.:  | 27(g)(2).  |
| NOTE: The Issue Fee an   | nd Publication Fee (if req   | uired) will not be accepte<br>ites Patent and Trademark   | d from anyone other tl   |  |   |                           |  |   |  |
| •  |  |   |  |  | Date  |                           |  |   |  |
| Typed or printed name  |  |   | Registration No.   |  |   |                           |  |   |  |
| an application. Confiden   | ntiality is governed by 35 and application form to the cions for reducing this but Virginia 22313-1450. DC | CFR 1.311. The informatic<br>U.S.C. 122 and 37 CFR<br>USPTO. Time will vary<br>rden, should be sent to th<br>O NOT SEND FEES OR   | 1.14. This collection is depending upon the  | is est<br>indiv  | imated to take 12 r<br>idual case. Anv co                         | ninutes<br>mment          | to complete, including on the amount of time | ig gatl<br>me vo  | hering, preparing, and<br>ou require to complete |

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| APPLICATION NO.         | FILING DATE           | FIRST NAMED INVENTOR    | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |  |
|-------------------------|-----------------------|-------------------------|---------------------|------------------|--|--|
| 10/803,449              | 03/17/2004            | Steve Koh               | A04P1026            | 5443             |  |  |
| 36802 75                | 36802 7590 04/24/2008 |                         |                     | INER             |  |  |
| PACESETTER,             | INC.                  | NATNITHITHADHA, NAVIN   |                     |                  |  |  |
| 15900 VALLEY VIEW COURT |                       |                         | ART UNIT            | PAPER NUMBER     |  |  |
| SYLMAR, CA 913          | 392-9221              |                         | 3735                |                  |  |  |
|                         |                       | DATE MAILED: 04/24/2008 |                     |                  |  |  |

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 772 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 772 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

|  | Application No.   | Applicant(s)  |  |  |
|--|---|---|--|--|
|  | 10/803,449  | KOH, STEVE  |  |  |
| Notice of Allowability   | Examiner  | Art Unit  |  |  |
|  | NAVIN NATNITHITHADHA  | 3735  |  |  |
|  | NAVIN NATNITHITHADHA  | 3735  |  |  |
| The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313 | (OR REMAINS) CLOSED in this ap<br>or other appropriate communicatio<br>IGHTS. This application is subject | oplication. If not included n will be mailed in due course. <b>THIS</b> |  |  |
| 1. This communication is responsive to 18 March 2008.  |   |   |  |  |
| 2. The allowed claim(s) is/are <u>1-15</u> .   |   |   |  |  |
| 3. Acknowledgment is made of a claim for foreign priority ur   | nder 35 U.S.C. § 119(a)-(d) or (f).   |   |  |  |
| a) ☐ All b) ☐ Some* c) ☐ None of the:  |   |   |  |  |
| <ol> <li>Certified copies of the priority documents have</li> </ol>  | e been received.  |   |  |  |
| 2. Certified copies of the priority documents have   | been received in Application No   | ·   |  |  |
| 3. Copies of the certified copies of the priority do   | cuments have been received in this  | national stage application from the                                     |  |  |
| International Bureau (PCT Rule 17.2(a)).   |   |   |  |  |
| * Certified copies not received:   |   |   |  |  |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  |   | complying with the requirements   |  |  |
| 4. A SUBSTITUTE OATH OR DECLARATION must be subm<br>INFORMAL PATENT APPLICATION (PTO-152) which give   |   |   |  |  |
| 5. CORRECTED DRAWINGS ( as "replacement sheets") mus   | st be submitted.  |   |  |  |
| (a) ☐ including changes required by the Notice of Draftspers   | son's Patent Drawing Review ( PTC   | 9-948) attached   |  |  |
| 1) ☐ hereto or 2) ☐ to Paper No./Mail Date   |   |   |  |  |
| (b) ☐ including changes required by the attached Examiner's<br>Paper No./Mail Date   | s Amendment / Comment or in the   | Office action of  |  |  |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t   |   |   |  |  |
| 6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT  |   |   |  |  |
|  |   |   |  |  |
| Attachment(s) 1. ☐ Notice of References Cited (PTO-892)  | 5. Notice of Informal   | Patent Application  |  |  |
| Notice of Neterences Great (110-092)     Notice of Draftperson's Patent Drawing Review (PTO-948)   | 6. ☐ Interview Summary  |   |  |  |
| _ , ,  | Paper No./Mail Da   | ate   |  |  |
| 3. Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  | 7. 🔲 Examiner's Amend   | ment/Comment  |  |  |
| Examiner's Comment Regarding Requirement for Deposit of Biological Material  |   | ent of Reasons for Allowance  |  |  |
|  | 9.  |   |  |  |
|  |   |   |  |  |
|  |   |   |  |  |

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### **REASONS FOR ALLOWANCE**

1. The status of the claims is as follows:

Claims 1 and 11 are currently amended;

Claims 2-10 and 12-15 are as originally filed; and

Claims 16-33 have been cancelled.

2. The requirement for a new oath or declaration is withdrawn in view of the OG Notice titled "Duty of Disclosure Language Set Forth in Oaths or Declarations Filed in Nonprovisional Patent Applications" (signed 22 January 2008), 1327 OG 112.

- 3. Applicant's arguments, see Remarks, p. 5, filed 18 March 2008, with respect to the rejection of claims 1-15 under 35 U.S.C. 101, as being directed to non-statutory subject matter, have been fully considered, and are persuasive. The rejection of claims 1-15 has been withdrawn.
- 4. Claims 1-15 are allowed.
- 5. The following is an examiner's statement of reasons for allowance:

<u>Claims 1-15</u>: The prior art of record does not teach a method, including the steps of: calculating a centroid for each cluster of counts on a histogram of apneic intervals, diagnosing apnea as a function of the centroids.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

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Art Unit: 3735

6. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to NAVIN NATNITHITHADHA whose telephone number is

(571)272-4732. The examiner can normally be reached on Monday-Friday, 9:00 am -

5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Charles Marmor, II can be reached on (571) 272-4730. The fax phone

number for the organization where this application or proceeding is assigned is 571-

273-8300.

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a

USPTO Customer Service Representative or access to the automated information

system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Robert L. Nasser Jr/

Primary Examiner, Art Unit 3735

/N. N./

Patent Examiner, Art Unit 3735

04/21/2008